CIRCULAR CLUSTERING OF PROTEIN DIHEDRAL ANGLES BY MINIMUM MESSAGE LENGTH

David L. Dowe, Lloyd Allison, Trevor I. Dix, * Lawrence Hunter,
Chris S. Wallace, Timothy Edgoose

Department of Computer Science, Monash University,
Clayton, Victoria 3168, Australia

** National Library of Medicine, Bldg. 38A, 9th floor,*
8600 Rockville Pike, Bethesda, MD 20894, U.S.A.

*e-mail: {dld,lloyd,trevor,csw,time}@cs.monash.edu.au, * hunter@nlm.nih.gov*

Early work on proteins identified the existence of helices and extended sheets in protein secondary structures, a high-level classification which remains popular today. Using the Snob program for information-theoretic Minimum Message Length (MML) classification, we are able to take the protein dihedral angles as determined by X-ray crystallography, and cluster sets of dihedral angles into groups. Previous work by Hunter and States has applied a similar Bayesian classification method, AutoClass, to protein data with site position represented by 3 Cartesian co-ordinates for each of the α-Carbon, β-Carbon and Nitrogen, totalling 9 co-ordinates. By using the von Mises circular distribution in the Snob program, we are instead able to represent local site properties by the two dihedral angles, ϕ and ψ. Since each site can be modelled as having 2 degrees of freedom, this orientation-invariant dihedral angle representation of the data is more compact than that of nine highly-correlated Cartesian co-ordinates. Using the information-theoretic message length concepts discussed in the paper, such a more concise model is more likely to represent the underlying generating process from which the data came. We report on the results of our classification, plotting the classes in (ϕ, ψ) space; and introducing a symmetric information-theoretic distance measure to build a minimum spanning tree between the classes. We also give a transition matrix between the classes and note the existence of three classes in the region $\phi \approx -1.09$ *rad* and $\psi \approx -0.75$ *rad* which are close on the spanning tree and have high inter-transition probabilities. This gives rise to a tight, abundant and self-perpetuating structure.

1 Introduction

Proteins exhibit a wide variety of structural similarities with one another. Protein substructure classifications like secondary structure are used in a variety of important applications. For example, they play an important role in fitting reasonable protein structures to electron density maps generated by X-ray crystallography (e.g. [Levi92]), and they are the target classes of many attempts to predict protein structure from sequence (e.g. [QiSe89], [SoSa94]). However, there is some controversy about whether secondary structure, as currently defined by hydrogen bonding patterns, is the best level of analysis for these tasks. Several researchers have attempted to improve on secondary structure classification for various tasks, e.g. [RoRW90, HuSt91, Sun93, KaTa94, Swin95].

Our approach to this problem is to use a quantitative version of Ockham's razor for clustering the dihedral angles of proteins taken from the Brookhaven protein structure database (PDB). We use the Minimum Message Length (MML) principle, which seeks a simple theory that fits the data well.

The Snob program for clustering and numerical taxonomy was originally developed by Wallace and Boulton [WaBo68], and was the first serious application of the MML principle for general inductive inference. The original Snob program [WaBo68, Wall86, Wall90] permitted continuous data, which were modelled by Normal distributions, and discrete data, which were modelled by multi-state distributions. Snob has been used to cluster protein spectral data into classes [ZaCD]. More recently [WaDo94b], Snob has been extended to permit data to be modelled by Poisson distributions and von Mises circular distributions. Snob is the only known program permitting cluster models of circular distributions.

Using the fact that dihedral angles along protein backbones can be modelled as coming from a circular distribution, and that angles around -179 degrees are close to and should be regarded as being close to angles around +179 degrees, it is advantageous to use the von Mises circular distribution to model our data. Additionally, our model replaces nine highly-correlated Cartesian co-ordinates with just two orientation-invariant angles, ϕ and ψ.

Our data consists of 41,731 pairs of protein (ϕ, ψ) dihedral angle pairs from the Brookhaven protein structure database (PDB). Our work follows that of Hunter and States [HuSt91, HuSt92] using AutoClass [CKSS88, CSHT90] with Gaussian variables.

The work reported here is preliminary in nature: we take little account of the auto-correlation of the secondary structure sequence; and (less importantly) the dependence of dihedral angles upon one another. (An expanded version of this paper is given in [DADH95] and is available from ftp://www.cs.monash.edu.au/www/publications/1995/TR237.ps.Z.) Section 2 introduces the MML principle and how it can be used for this circular clustering problem. The remaining sections give the results of the secondary structure groups [KaSa83] that resulted from applying Snob to cluster our dihedral angle data.

2 MML, von Mises Distributions and Snob

The information-theoretic MML principle [WaBo68(p185), BoWa69, BoWa70(pp63-64), WaBo75, WaFr87] of inductive inference variously states [WaDo94b] that the best conclusion to draw from data is the theory with the highest posterior probability or, equivalently, that theory which maximises the product of the prior probability of the theory with the probability of the data occurring in light of that theory.

Letting D be the data and H be an hypothesis (or theory) with prior probability Pr(H), since $-\log_2(Pr(H).\ Pr(D|H)) = -\log_2(Pr(H)) - \log_2(Pr(D|H))$, maximising the posterior probability, Pr(H|D), is equivalent to minimising $-\log_2(Pr(H)) - \log_2(Pr(D|H))$, the length of a two-part message conveying the theory and the data in light of the theory. Hence the name "minimum message length" (principle) for thus choosing a theory, H, to fit observed data, D.

MML pertains to Chaitin's notion of "random" data [Chai66] and earlier ideas of Solomonoff [Solo64(p20)]. Introductory material on MML is given in [WaDo93] and discussion of the subsequent Minimum Description Length (MDL) principle and other closely related work is given in [Ris89, Solo95, BaOl95]. Parameter estimation by MML is discussed in [WaFr87, WaDo93], about which we say a little below.

Given data $\underset{\sim}{x}$ and parameters $\underset{\sim}{\theta}$, let $h(\underset{\sim}{\theta})$ be the prior probability distribution on $\underset{\sim}{\theta}$, let $p(\underset{\sim}{x}|\underset{\sim}{\theta})$ be the likelihood, let $L = -\log p(\underset{\sim}{x}|\underset{\sim}{\theta})$ be the negative log-likelihood and let F be the Fisher information, the determinant of the (Fisher information) matrix of expected second partial derivatives of the negative log-likelihood. Then the MML estimate of $\underset{\sim}{\theta}$ is [WaFr87] that value of $\underset{\sim}{\theta}$ minimising the message length, $-\log(h(\underset{\sim}{\theta})\, p(\underset{\sim}{x}|\underset{\sim}{\theta})/\sqrt{F(\underset{\sim}{\theta})})$ + a constant. (This is elaborated upon in [WaDo93].)

The two-part message describing the data thus comprises first, a theory, which is the MML parameter estimate(s), and, second, the data given this theory. While it is reasonably clear to see that a finite coding can be given when the data is discrete or multi-state, we also acknowledge that all recorded continuous data must only be stated to finite accuracy by virtue of the fact that it was able to be (finitely) recorded. In analysing statistical data it is important to know the accuracy to which the data has been measured. Most methods implicitly assume that the data gathered has been measured to arbitrary accuracy. For an information-theoretic method such as MML, the less accurate the data the less information it conveys. In practice, we assume that, for a given continuous or circular attribute, all measurements are made to some accuracy, ε. For the Snob program, this accuracy is stated by the user. The accuracy should be a measure of the repeatability of a measurement.

For a physical measurement, it is presumably the accuracy of the instrument being used. This raises an important point. Using a message length criterion, if our data is very noisy, the measurement accuracy, ε, is large and the information content is therefore relatively low, then it would be wiser not to grow too many Snob classes. If the data becomes increasingly accurate, this should not decrease the number of classes which we wish to grow. We know [HuSt91] our data to have no better resolution than 2 Angstrom units in Euclidean space. We believe that this corresponds to a resolution of about 10 to 20 degrees in our dihedral angle data. The

exact choice of measurement accuracy, ε, should not make a great deal of difference, as we indeed observe from experiment. We opt to assume a measurement accuracy of approximately 11.5 degrees in our data for Snob.

The von Mises distribution (see, e.g. [Fish93]), $M_2(\mu, \kappa)$, with mean direction μ, and concentration parameter, κ, is a circular analogue of the Normal distribution − both being maximum entropy distributions. Letting $I_0(\kappa)$ be the relevant normalisation constant, it has probability density function (p.d.f.) $f(x|\mu, \kappa) = e^{\kappa.\cos(x-\mu)}/(2\pi I_0(\kappa))$, and corresponds to the distribution of the angle, x, of a circular pendulum in a uniform field (at angle μ) subjected to thermal fluctuations, with κ representing the ratio of field strength to temperature. For small κ, it tends to a uniform distribution and for large κ, it tends to a Normal distribution with variance $1/\kappa$.

MML estimation of κ uses [WaDo93, WaDo94a] the Bayesian prior distribution on κ of $h(\kappa) = \kappa/(1+\kappa^2)^{3/2}$. The MML estimator compared favourably [WaDo93] against Maximum Likelihood (ML) and other alternative methods [Scho78, Fish93].

In practice, the Snob program makes the reasonable assumption that the standard deviation, $\sigma \geq 0.3\varepsilon$ when dealing with data from Normal distributions. Since $M_2(\mu, \kappa) \approx N(\mu, 1/\kappa)$ for large κ, Snob also makes the similar assumption that $\kappa \leq 1/(0.3\varepsilon)^2$ when dealing with data from von Mises distributions.

The use of a circular distribution is particularly advantageous here since it can acknowledge the proximity of -179 degrees and +179 degrees in a way that a Normal distribution can not. Snob [WaBo68, Wall86, Wall90] permits us to deal not only with parameter estimation from one distribution, but with a mixture of von Mises [WaDo94b] or other distributions. The statistical consistency and optimality of MML estimation for a wide range of problems is discussed in [WaFr87, Wall89, BaCo91, WaDo94b].

A discussion of the similarities between Snob applied to Normal distributions and AutoClass [CKSS88] is given in [Wall90(pp78-80)], with an old but extensive discussion of alternative algorithms for intrinsic classification having been given in [Boul75]. An alternative, popular clustering method is COBWEB [Fish87]. Snob is the only program we are aware of for clustering (von Mises) circular distributions.

3 Application

Earlier applications of Snob include several to medical, biological and psychological data, with a thorough survey in [Patr91] and a more recent survey in [WaDo94b]. (A guide to using the Snob program and interpreting its output is given in [WaDo94b].)

The task of applying Snob or related automated clustering methods to protein substructure data is difficult for several reasons. First, existing useful classifications

(e.g. secondary structure) are of varying lengths. A beta turn may contain just 3 amino acid residues, while a long helix may have more than 20. Because all existing clustering methods require a fixed length attribute vector, previous approaches have clustered fixed length sliding windows of the data, e.g. [HuSt91].

Our overall approach to the problem of variable lengths in substructure classes is to begin by finding classes of the smallest possible unit of protein substructure (the peptide bond) and then devise a method for finding class structure in the (variable length) sequences of these unitary classes. Since analysis of variable length sequences is more tractable than structures with varying numbers of dimensions (i.e. varying numbers of constituents), we hope that this approach will ultimately address the problem. This paper reports on our results for finding structure at the unitary level. We will also describe our work characterising the transition probabilities between the discovered unitary classes. Future work will consider a clustering method for longer protein substructures.

Hunter and States [HuSt91] attempted to address this problem by looking at short fixed length substructures, instead of looking at single peptide bonds. In order to define a uniform frame of reference for the substructures, Hunter and States used the centre of mass and the moments of inertia of the fragments. These co-ordinates cannot be defined for single amino acids, and were unstable for amino acid pairs with nearly symmetric moments of inertia. Hunter and States settled on a substructures of five amino acids for their work, although they noted the problems with that approach. Since Snob permits us to use the von Mises distribution to classify on the ϕ and ψ angles of the peptide bond, we do not need to define a specific frame of reference. We expect this will lead to better results when these unitary classes are aggregated into our target substructure classes.

A second significant problem with most clustering methods is that they tend to require that the attributes in the description vectors be independent of each other. Neither positions of atoms in Cartesian co-ordinates nor the use of ϕ and ψ angles to describe the data result in independent distributions. Violation of the independence assumption typically leads the classifiers to produce too many classes. This work also suffers somewhat from this problem, although it is an improvement over the Hunter and States work since there are only two correlated dimensions instead of nine. As described below, we have taken several steps to factor out the correlation. We are exploring the possibility of adding a correlation term to each class description (allowing the correlations within each class to vary from the correlations within other classes), which is well within the ability of the MML framework, although not yet the Snob program.

4 Methods

We have applied Snob to clustering the (ϕ, ψ) angle data from a non-redundant subset of the PDB. Our stated measurement accuracy was 0.2 radians (11.5 degrees). This gave the following results:

Axes	Message Length (nits)	Classes
ϕ, ψ	204,270.4	27

where 1 nit = $\log_2 e$ bits. Notice, properties of the logarithm function ensure that it makes no difference whether we work in bits or nits. Varying the measurement accuracy slightly produced little or no difference in the Snob classification.

Snob models the classes as having attributes from independent distributions. The entries in table 1 give the size, N, of each class and the attribute parameters, μ_ϕ and κ_ϕ (for ϕ) and μ_ψ and κ_ψ (for ψ) for each class. The p.d.f. of each class is thus $M_2(\mu_\phi, \kappa_\phi).\, M_2(\mu_\psi, \kappa_\psi)$, the product of the p.d.f.'s in ϕ and ψ. The Snob model of the population is then $\sum_{classes\ i} N_i.\, M_2(\mu_{\phi i}, \kappa_{\phi i}).\, M_2(\mu_{\psi i}, \kappa_{\psi i})$.

Figure 1 shows (a) a histogram of the raw data and (b) the Snob model from table 1. The Snob classes are represented in figure 2 with centres at (μ_ϕ, μ_ψ) and semi-axis lengths $1/\sqrt{\kappa_\phi}$ and $1/\sqrt{\kappa_\psi}$ respectively. The semi-axis length is due to the Normal approximation for large κ and the wrap-around of some classes is due to the (ϕ, ψ) axes being toroidal.

Since secondary structure is not purely a local property of the dihedral angles, we follow Hunter and States [HuSt91, HuSt92] and look at windows of consecutive sites. For a sliding window of 3 residues, 90 classes were found [DADH95].

Observing some of our original (ϕ, ψ) plots overlaid with the Snob classes inferred from this data gave us reason to believe that we would like to transform, if possible, from (ϕ, ψ) space to $(\phi + \psi, \psi)$ space, since the original (ϕ, ψ) plot (see figure 2) seemed to show a cluster of Snob classes along the lines (expressed in radians) $\phi + \psi = -\pi/2$ and $\psi = 3\pi/4$. The axis transformations considered here and below have a fairly straightforward geometrical interpretation. They also serve as something of an attempt at factor analysis.

The permissible space of angles, (ϕ, ψ), corresponds to a torus since (in radians) $-\pi$ is equivalent to $+\pi$. As such, we have some constraints if our transformation of the parameter space is to be 1-to-1 and onto. For it to be well-defined, we require that $f(\phi + 2m\pi, \psi + 2n\pi) = f(\phi, \psi)$ for integers m and n. This ensures that −179 degrees and +179 degrees, and also 1 degree and 359 degrees, are always treated as being close to one another, and remain so under transformation. We also require the transformation, f, to be invertible with a Jacobian of unity (see [DADH95]).

Table 1: Von Mises distribution parameters and sizes of Snob classes.

Class	μ_ϕ (phi)	κ_ϕ (phi)	μ_ψ (psi)	κ_ψ (psi)	Size
1	-1.4846	35.17	1.4782	6.02	714
2	-2.3491	26.23	2.6347	18.61	2409
3	-1.7799	4.80	2.1944	20.01	4667
4	-1.9841	16.48	2.3979	7.94	3756
5	-1.6641	55.85	0.0703	47.21	750
6	-1.4108	56.59	-0.1708	42.71	1152
7	-1.4544	28.26	2.7944	11.41	1509
8	-1.5713	9.50	-0.4434	9.78	2264
9	-2.7258	39.09	2.8028	21.48	1050
10	-2.9697	2.76	-3.1150	10.78	671
11	-1.1634	75.08	-0.4336	37.29	2359
12	-1.1393	39.89	-0.6503	54.92	4842
13	-1.0891	164.71	-0.7455	134.32	4560
14	-0.9740	77.24	-0.8621	92.40	2074
15	-1.9805	18.09	0.2318	12.62	1146
16	-2.3384	17.80	1.3521	8.69	516
17	-1.8083	3.14	-1.0773	0.09	1095
18	-0.8727	13.41	-0.9694	17.20	729
19	1.5602	1.44	0.2542	0.24	675
20	1.6205	25.92	-0.0804	18.09	528
21	1.3191	65.40	0.2938	27.59	424
22	1.0665	85.56	0.5843	41.98	341
23	0.8884	77.93	0.8709	28.48	343
24	1.0079	34.00	-2.3054	23.40	151
25	1.5073	17.16	3.1371	6.00	251
26	-1.1953	44.97	2.5447	21.28	1969
27	-1.0072	85.85	2.3741	46.00	786

Since we are using Minimum Message Length, the message length is the criterion that we use to determine whether or not we deem a transformation of the parameter space to be a good idea. Using a "colourless", innocuous prior on some transformations, the negative logarithm of which we add to the message length, we thus look at the effect on the message length of our considered transformations. The difference in message length is the log posterior odds ratio; in other words, if theory H_1 leads to a message length 5 bits shorter than H_2 does, then H_1 is deemed to be 2^5 times more likely than H_2 a posteriori.

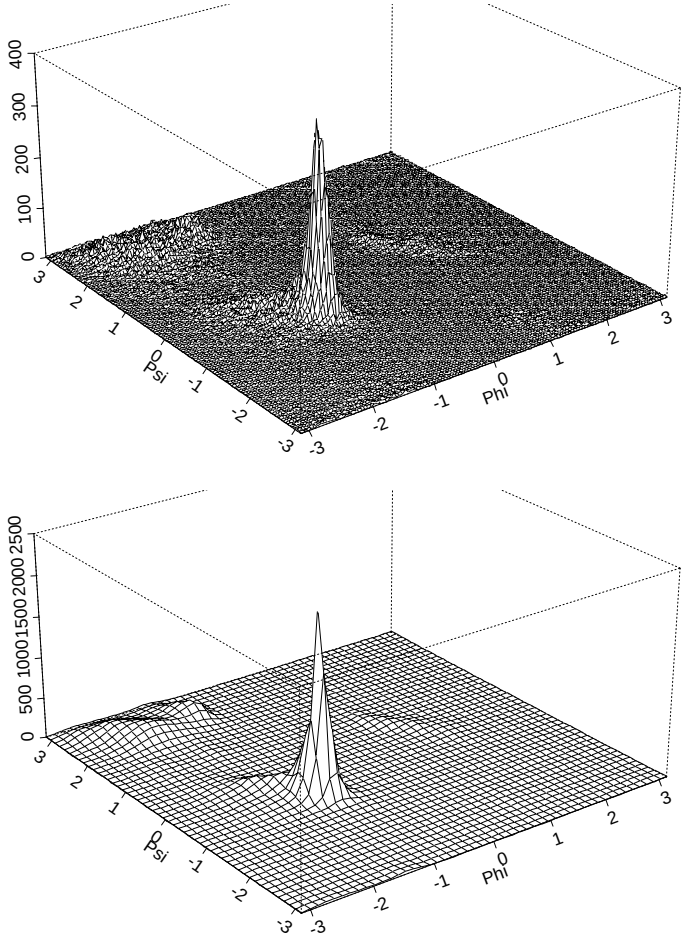

Figure 1: Histogram of (a) raw (ϕ, ψ) data and (b) Snob model.

Following the hints in the graphical plot of the above Snob classes, we transformed the data to $(\phi + \psi, \psi)$. We earlier deemed the accuracy, ε, on ϕ and ψ to be the same. Since the Jacobian of the transformations is unity, the region of area ε^2 corresponding to measurements of ϕ and ψ should map to a region of area $1 \times \varepsilon^2 = \varepsilon^2$ in ϕ and $(\phi + \psi)$. We thus adopt ε as the measurement accuracy in both ϕ and $(\phi + \psi)$ The graphical plots of the Snob classes hint that a better choice of Snob axes than (ϕ, ψ) might be $(\phi + \psi, \psi)$. The current implementation of Snob

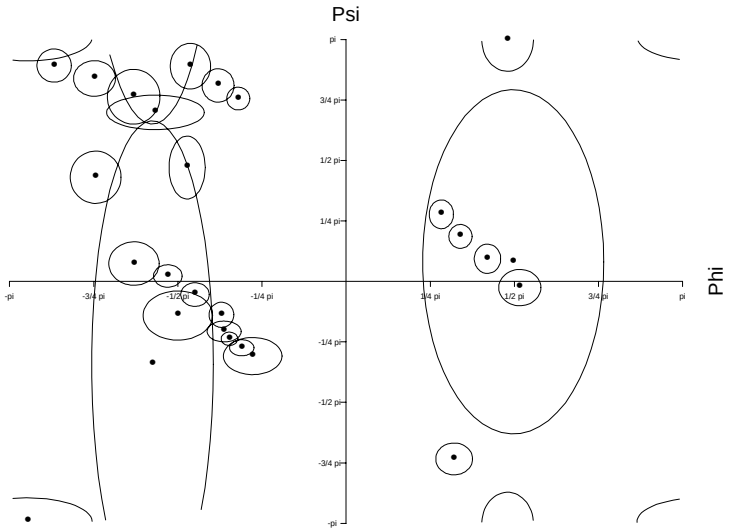

Figure 2: Snob classes in (ϕ, ψ) centred at (μ_ϕ, μ_ψ).

assumes that the distributions in ϕ and ψ are independent in each class, giving each class an elliptical profile (see figure 2) whose major and minor axes align with the ϕ and ψ axes. We experimented with re-aligning the Snob axes. Transforming the data to $(\phi + \psi, \psi)$ actually increased the message length as follows:

Axes	Message Length (nits)	Classes
$\phi + \psi, \psi$	204,515.2	32

The work in the next section builds on the better results of the (ϕ, ψ) data. However, in work just completed we noticed the $(\phi + \psi, \psi)$ plot showed clusters of Snob classes roughly along the lines $\psi = (\phi + \psi) - \pi/2$ and $\psi = (\phi + \psi) + \pi/2$, lines for which we note ϕ is a constant. This led us to re-introduce the ϕ axis while retaining the $(\phi + \psi)$ axis to obtain the following results:

Axes	Message Length (nits)	Classes
$\phi, \phi + \psi$	204,249.3	23

This reduced the message length from the (ϕ, ψ) classification by approximately 21 nits and also has reduced the number of classes and needs to be investigated further.

5 Similarity measure between classes

We wish to arrive at a distance measure between classes. Just as the Dayhoff substitution measure between amino acids gives a method for determining similarity between two proteins based on their amino acid sequence, using an assignment of protein dihedral angle pairs to Snob classes, the metric below gives a method of determining similarity between two proteins based on their tertiary structure. One candidate for such a metric was the cost that Snob associates with a forced combining of the two relevant Snob classes. The shortcoming with this metric is that it is very much affected by the population sizes of the distributions. On the one hand, two very similar and largely overlapping Snob classes which had very similar parameter values could be expensive (in terms of Snob's information-theoretic bit cost) for Snob to combine if they were both very abundantly populated. On the other hand, two apparently very different classes with antipodal values of μ and large concentration parameters could be relatively inexpensive for Snob to combine if the antipodal classes had sufficiently small populations.

We conclude from the above that we would rather have a distance measure which is a function of the probability distribution parameters of the Snob classes, independent of the class sizes. For probability distributions $f(x)$ and $g(x)$, the Kullback-Leibler distance from f to g is defined by

$$dist_{K-L}(f, g) = -\int f \log g \; dx - (-\int f \log f \; dx) = \int f \log(f/g) \; dx.$$

The Kullback-Leibler distance essentially gives the difference between the cost of coding events from the probability distribution, f, using codewords of length $-\log g$ with the optimal code obtained by coding events from the probability distribution, f, using codewords of length $-\log f$. Some properties are that $dist_{K-L}(f, g) \geq 0$ and $dist_{K-L}(f, f) = 0$, although the Kullback-Leibler distance is not generally symmetric in f and g. For von Mises distributions $M_2(\mu_f, \kappa_f)$ and $M_2(\mu_g, \kappa_g)$, we get that [WaDo93]

$$dist_{K-L}(f, g) = \log I_0(\kappa_g) - \log I_0(\kappa_f) + A(\kappa_f)\,(\kappa_f - \kappa_g \cos(\mu_f - \mu_g)),$$ where

$I_p(\kappa) = \frac{1}{2\pi}\int_0^{2\pi} \cos(p\theta).\, e^{\kappa.\cos\theta} \; d\theta$, and $A(\kappa) = I_1(\kappa)/I_0(\kappa)$ is the expected value of $\cos(\theta)$ under an $M_2(0, \kappa)$ distribution.

We take a symmetrical distance measure based on the Kullback-Leibler measure which is vaguely analogous to the cost of forcing Snob to combine two classes. Let two classes have p.d.f.'s f_1 and f_2 given by $M_2(\mu_1, \kappa_1)$ and $M_2(\mu_2, \kappa_2)$ respectively.

Consider the resultant vectors of length $A(\kappa_1)$ pointing in direction μ_1 and $A(\kappa_2)$ pointing in direction μ_2 respectively. The vector sum of these gives the direction of μ_g. Halving the length of this resultant to effectively average the contribution from

the two distributions, the resultant length, l, will satisfy $0 \le l < 1$. We define κ_g to satisfy $A(\kappa_g) = l$.

Our symmetrical, "similarity", distance measure is then $d(f_1, f_2) = dist_{K-L}(1/2(f_1 + f_2), g) = 1/2\ dist_{K-L}(f_1, g) + 1/2\ dist_{K-L}(f_2, g)$.

This has several desirable properties.

1. If $\mu_1 = \mu_2 = \mu$ and $\kappa_1 = \kappa_2 = \kappa$, then $\mu_g = \mu$ and $\kappa_g = \kappa$ and $d(f_1, f_2) = 0$.
2. If $\mu_1 - \mu_2 = \pi \ mod\ 2\pi$ and $\kappa_1 = \kappa_2$, i.e. if the distributions are antipodal mirror reflections, then $\kappa_g = 0$ and $d(f_1, f_2) = dist_{K-L}(f_1, g) = dist_{K-L}(f_2, g)$.
3. It is additive across multiplicatively independent distributions. In other words, thinking of Snob classes of window width 1 as being of the form $f(\phi, \psi) = f_\phi(\phi) f_\psi(\psi)$, we have $d(f_1, f_2) = d(f_{\phi,1}, f_{\phi,2}) + d(f_{\psi,1}, f_{\psi,2})$.

We have extended the Snob program [WaDo94b] to carry out these calculations. In order to better visualize the unitary classification, we have used these calculations to build a minimum spanning tree from our Snob classes as follows: Iteratively work out which two of the current classes are closest together under this distance measure. Then reduce the number of classes by one, replacing these two classes with distributions f_1 and f_2 by a class having distribution g as above. The node referring to g then becomes the parent node of the nodes referring to f_1 and f_2. This is iterated until we are left with the root node. Backtracking gives the minimum spanning tree. Notice that our original flat classification is based on the MML principle. The hierarchical classification of the von Mises classes here is based on an information-theoretic distance measure, but the spanning tree does not have a messsage length directly associated with it.

A minimum spanning tree for the 27 classes in table 1 was constructed (see [DADH95(fig 3)]). This can be viewed as a form of hierarchical cluster, with the caveat that the formula for calculating g from f_1 and f_2 would then be assuming that all classes are arbitrarily large. The tree has five sub-trees at intermediate nodes which partition all but four of the classes into groups such that the classes in each group seem fairly close using our symmetric distance measure.

A transition matrix was built (see [DADH95]) where the entry in row i, column j is $\log(Pr(Class_j \mid predecessor\ in\ Class_i) / Pr(Class_j))$. The entries for classes 12, 13 and 14 show that class 13 prefers most to be preceded by class 13 and has a positive inclination to be preceded by classes 12 and 14. Class 13 has a disinclination to be preceded by every other class. Classes 12, 13 and 14 have close proximity on the minimum spanning tree. Class 13 has large concentration parameters, κ_ϕ and κ_ψ (see table 1), corresponding to standard deviations in ϕ and ψ of 4.5 and 4.9 degrees respectively. Also, approximately 11% of the population is in this class. Classes 12, 13 and 14 show a preference to be preceded by one another and together contain some 27.5% of the population. This suggests that class 13 is an abundant,

tight (having large values of κ) and self-perpetuating (highly auto-correlated) structure, with μ values also corresponding to those of helices. A similar comment applies to the group of classes 12, 13 and 14 together. These classes are close on the minimum spanning tree.

We note in passing from the transition matrix that class 5 prefers not to succeed all but five of the classes. It prefers not to succeed itself and of the five classes it prefers to succeed, only one of these (class 24) prefers to succeed it. This suggests a possible transition conformation.

6 Conclusions

Hunter and States [HuSt91, HuSt92] have earlier clustered protein secondary structure conformations using nine highly correlated Cartesian co-ordinates per secondary structure site. The Snob program, which is founded on the Minimum Message Length principle, permits clustering of circular distributions. This permitted us to carry out similar work to Hunter and States using only two orientation-invariant angles as our data per site. Presuming a measurement accuracy of 11.5 degrees, Snob arrived at 27 classes in (ϕ, ψ).

We formed a minimum spanning tree of the classes and also a transition matrix between the classes. The highlight was that one very tight class containing approximately 11% of the population has a strong disinclination to be preceded in a sequence by any class other than itself and two of its nearest neighbours on the minimum spanning tree. We also found that this set of three classes contained approximately 27.5% of the population and tended to prefer being preceded by its own members, suggesting an abundant, tight, self-perpetuating structure.

The program currently implicitly assumes that variables are uncorrelated and does not yet use the MML single and multiple linear factor analysis [WaFr92, Wall95]. Where there is correlation, linear factor analysis (which permits axis rotation) should enable the data to be better compressed.

As a Ramachandran plot of the raw (ϕ, ψ) data demonstrates, the distributions in ϕ and ψ are not independent of one another. We have investigated axis transformations as a way of dealing with this. One alternative, currently in the early stages, is to extend the theory of MML factor analysis (on Normal distributions) to single factor analysis for von Mises distributions. Another alternative is to permit the individual Snob classes to have their own, separate, axis transformations. We also wish to extend the MML decision graph [OlDW92] work on inferring a probabilistic theory of Extended, Helix or Other secondary structure from the primary amino acid sequence to inferring a theory using this larger number of secondary structure classes here. Given the clear serial correlation discussed and observed between some of the classes, it would be desirable to extend Snob to permit a more explicit

model of this.

Availability of the Snob program

The current version of the Snob program (written in Fortran 77) is freely available for not-for-profit, academic research, and not for re-distribution, from <URL:ftp://ftp.cs.monash.edu.au/pub/snob/> or directly from C.S. Wallace. Usage restrictions are given in [WaDo94b].

Acknowledgements

This work was supported by Australian Research Council (ARC) Grant A49330656 and ARC 1992 small grant 9103169 .

References

A.R. Barron and T.M. Cover, Minimum Complexity Density Estimation, *IEEE Transactions on Information Theory*, 37, 1034-1054, 1991.

R.A. Baxter and J.J. Oliver, MDL and MML: Similarities and Differences, Tech. Rep. 95/207, Dept of Comp. Sci., Monash University, Australia, 1995.

D.M. Boulton, The Information Criterion for Intrinsic Classification, PhD thesis, Dept. of Computer Science, Monash University, Australia, 1975.

D.M. Boulton and C.S. Wallace, The Information Content of a Multistate Distribution, *J. Theoret. Biol.*, 23, 269-278, 1969.

D.M. Boulton and C.S. Wallace, A Program for Numerical Classification, *Comp. J.*, 13(1), 63-69, 1970.

G.J. Chaitin, On the length of programs for computing finite sequences, *J. ACM*, 13(4), 547-549, 1966.

P. Cheeseman, J. Kelly, M. Self, J. Stutz, W. Taylor, D. Freeman, AutoClass: A Bayesian Classification System, *Proc. 5th Int. Conf. Machine Learning*, Ann Arbor, MI, U.S.A., Morgan Kaufmann, 1988.

P. Cheeseman, J. Stutz, R. Hanson, W. Taylor, AutoClass III, Research Institute for Advanced Computer Science, NASA Ames Research Centre, USA, 1990.

D.L. Dowe, J.J. Oliver, T.I. Dix, L. Allison & C.S. Wallace, A Decision Graph Explanation of Protein Secondary Structure Prediction, *Proc. of 26th Hawaii Int. Conf. on System Sciences* 1, 669-678, 1993.

D.L. Dowe, L. Allison, T.I. Dix, L. Hunter, C.S. Wallace, T. Edgoose, Circular Clustering by Minimum Message Length of Protein Dihedral Angles, Tech. Rep. 95/237, Dept Comp. Sci., Monash Uni., 1995.

D. Fisher, Knowledge Acquisition Via Incremental Conceptual Clustering, *Machine Learning*, 2, 139-172, 1987.

N.I. Fisher, *Statistical Analysis of Circular Data*, Cambridge Univ. Press, Cambridge, 1993.

L. Hunter, D.J. States, Applying Bayesian Classification to Protein Structure, *Proc. 7th IEEE Conference on Artificial Intelligence Applications*, 10-16, Feb. 1991.

L. Hunter, D.J. States, Bayesian Classification on Protein Structure, *IEEE Expert*, 7(4), 67-75, 1992.

W. Kabsch and C. Sander, Dictionary of protein secondary structure: pattern recognition of hydrogen-bonded and geometrical features, *Biopolymers*, 22(12), 2577-2637, 1983.

M. Kamimura and Y. Takahashi, $\phi - \psi$ conformational pattern clustering of protein amino acid residues using the potential function method, *CABIOS*, 10(2), 163-169, 1994.

M. Levitt, Accurate modeling of protein conformation by automatic segment matching, *J Mol Biol*, 226(2), 507-533, 1992.

J. Oliver, D.L. Dowe and C.S. Wallace, Inferring Decision Graphs Using the Minimum Message Length Principle, in *Proceedings of Austn. Artificial Intelligence Conference '92*, Hobart, Tasmania, 1992.
J.D. Patrick, Snob: A program for discriminating between classes, Tech. Rep. 91/151, Dept of Comp. Sci., Monash Uni., Australia, 1991.
N. Qian and T. Sejnowski, Predicting the Secondary Structure of Globular Proteins using Neural Network Models, *J Mol Bio*, 202(4), 865-884, 1988.
J. Rissanen, *Stochastic complexity in statistical inquiry*, World Scientific Series in Comp. Sci., 15, 1989.
M.J. Rooman, J. Rodriguez and S.J. Wodak, Automatic definition of recurrent local structure motifs in proteins, *J. Mol. Biol.*, 213,327-336, 1990.
G. Schou, Estimation of the concentration parameter in von Mises-Fisher distributions, *Biometrika*, 65(1), 369-77, 1978.
R.J. Solomonoff, A formal theory of inductive inference (I and II), *Information and Control*, 7, 1-22 and 224-254, 1964.
R.J. Solomonoff, The Discovery of Algorithmic Probability: A Guide for the Programming of True Creativity, in *Computational Learning Theory: EuroCOLT'95*, ed. P. Vitanyi, Springer-Verlag, 1-22, 1995.
V.V. Solovyev and A.A. Salamov, Predicting alpha-helix and beta-strand segments of globular proteins, *Comput Appl Biosci*, 10(6), 661-669, 1994.
S. Sun, Reduced representation model of protein structure prediction: statistical potential and genetic algorithms, *Protein Sci*, 2(5), 762-785, 1993.
M.B. Swindells, A procedure for detecting structural domains in proteins, *Protein Sci.*, 4(1), 103-112, 1995.
C.S. Wallace, An Improved Program for Classification, *9th Aust. Comp. Sci. Conf.*, 8(1), 357-366, 1986.
C.S. Wallace, False Oracles and SMML Estimators, Tech. Rep. 89/128, Dept of Comp. Sci., Monash Uni., Australia, 1989.
C.S. Wallace, Classification by Minimum-Message-Length Inference, in *Advances in Computing and Information - ICCI'90*, S.G. Akl et al (eds.) *LNCS* 468, Springer-Verlag, 72-81, 1990.
C.S. Wallace, Multiple Factor Analysis by MML Estimation, Technical Report 95/218, Dept of Comp. Sci., Monash Uni., Australia, 1995.
C.S. Wallace and D.M. Boulton, An Information Measure for Classification, *Comp. J.*, 11(2), 185-194, 1968.
C.S. Wallace and D.M. Boulton, An Invariant Bayes Method for Point Estimation, *Classification Society Bulletin*, 3(3), 11-34, 1975.
C.S. Wallace and D.L. Dowe, MML estimation of the von Mises concentration parameter, Tech. Rep. 93/193, Dept of Comp. Sci., Monash Uni., Australia, 1993; submitted to Aust. J. Statistics.
C.S. Wallace and D.L. Dowe, Estimation of the von Mises concentration parameter using Minimum Message Length, *Proc. 12th Australian Statistical Society Conference*, Monash University, Australia, 1994.
C.S Wallace and D.L. Dowe, Intrinsic classification by MML - the Snob program, *Proc. 7th Australian Joint Conf. on Artificial Intelligence*, Armidale, Australia, World Scientific, 37-44, 1994.
C.S. Wallace and P.R. Freeman, Estimation and Inference by Compact Coding, *Journal Royal Statistical Society, Series B, Methodology*, 49(3), 240-265, 1987.
C.S. Wallace and P.R. Freeman, Single Factor Analysis by MML Estimation, *J.R. Statist. Soc. B*, 54(1), 195-209, 1992.
J.D. Zakis, I. Cosic and D.L. Dowe, Classification of protein spectra derived for the Resonant Resonant Recognition model using the Minimum Message Length principle, *17th Aust. Comp. Sci. Conf.*, NZ, 209-216, 1994.